The Geological Record of Ocean Acidification

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Ocean acidification may have severe consequences for marine ecosystems; however, assessing its future impact is difficult because laboratory experiments and field observations are limited by their reduced ecologic complexity and sample period, respectively. In contrast, the geological record contains long-term evidence for a variety of global environmental perturbations, including ocean acidification plus their associated biotic responses. We review events exhibiting evidence for elevated atmospheric CO₂, global warming, and ocean acidification over the past ~300 million years of Earth’s history, some with contemporaneous extinction or evolutionary turnover among marine calcifiers. Although similarities exist, no past event perfectly parallels future projections in terms of disrupting the balance of ocean carbonate chemistry—a consequence of the unprecedented rapidity of CO₂ release currently taking place.

The geological record is imprinted with numerous examples of biotic responses to natural perturbations in global carbon cycling and climate change (Fig. 1), some of which could have been caused by large-scale ocean acidification. By reconstructing past changes in marine environmental conditions, we can test hypotheses for the causes and effects of future relevant stressors such as ocean acidification on ecosystems (1). However, for the fossil record to be of direct utility in assessing future ecosystem impacts, the occurrence and extent of past ocean acidification must be unambiguously identified. In recent years, a variety of trace-element and isotopic tools have become available that can be applied to infer past seawater carbonate chemistry. For instance, the boron isotopic composition (δ¹¹B) of marine carbonates reflects changes in seawater pH, the trace element (such as B, U, and Zn)—to-calcium ratio of benthic and planktic foraminifer shells records ambient [CO₂], and the stable carbon isotopic composition (δ¹³C) of organic molecules (alkenones) can be used to estimate surface ocean aqueous [CO₂] (2).

Because direct ocean geochemical proxy observations are still relatively scarce, past ocean acidification is often inferred from a decrease in the accumulation and preservation of CaCO₃ in marine sediments, potentially indicated by an increased degree of fragmentation of foraminiferal shells (3). However, it is difficult to distinguish between the original calcification responses to chemical changes in the surface ocean and post-mortem conditions at the sea floor. For instance, planktic calcifiers may secrete heavier or lighter shells (4), but that signal may be modified at the sea floor through dissolution or overgrowth after deposition (5, 6). This duality can introduce controversy over the identification of causes and effects, the drivers of biological change, and

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Fig. 1. Idealized diversity trajectories of the calcareous and organic fossil lineages discussed in the text. Extinction and radiation suggest events of major environmental change throughout the past 300 My. Calcareous plankton is shown in blue, and organic fossils in green, and the line thickness indicates relative and smoothed species richness. Highlighted events (vertical red lines) have been associated with potential ocean acidification events (Fig. 4). Calcareous organisms were not uniformly affected at all times, suggesting the importance of synergistic environmental factors to extinction, adaptation, and evolution as well as different sensitivity due to physiological factors. Identification of a paleo-ocean acidification event therefore requires independent geochemical evidence for ocean chemistry changes. Images of organisms are exemplary. References and further information on the displayed organisms are available in the supporting online material.

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Is Ocean Acidification Primarily a pH-Decline Phenomenon?

The current rate of anthropogenic CO2 release leads to a surface ocean environment characterized not only by elevated dissolved CO2 and decreased pH (7) but, critically, decreased saturation with respect to calcium carbonate (CaCO3), a compound widely used by marine organisms for the construction of their shells and skeletons (8). In contrast, slower rates of CO2 release lead to a different balance of carbonate chemistry and/or reduction of CaCO3 saturation are indicated in red, and processes leading to ocean alkalinization and/or CaCO3 saturation increases are indicated in blue. Anthropogenic perturbations are marked in italics. Approximate fluxes are printed in parentheses (PgC year$^{-1}$), whereas reservoir inventory values are shown in brackets [PgC]. Natural carbon cycle fluxes are from (70); anthropogenic fluxes for 2008 are from (57), which for the land sink is significantly above its 1990–2000 average of 2.6 PgC year$^{-1}$ due to the 2008 La Niña state (8).

Indications of Paleo-Ocean Acidification

With these criteria in mind, we review (in reverse chronological order) the intervals in Earth’s history for which ocean acidification has been hypothesized, along with the evidence for independent geochemical and biotic changes. We confine this review to the past ~300 million years (My) because the earlier Phanerozoic (and beyond) lacks the pelagic calcifiers that not only provide key proxy information but also create the strong deep-sea carbonate (and hence atmospheric PCO2) buffer that characterizes the modern Earth system (9). Our criteria for identifying potentially future-relevant past ocean acidification include: (i) massive CO2 release, (ii) pH decline, and (iii) saturation decline. We also discuss evidence for the time scale of CO2 release, as well as for global warming. Events are given a similarity index that is based on available geochemical data (table S1) and are indicated in Fig. 4A.

Late Pleistocene deglacial transitions. The last deglaciation is the best documented past event associated with a substantive (30%) CO2 rise: 189 to 265 μatm between 17.8 to 11.6 ky before...
the present (B.P.) (11). Boron isotope estimates from planktic foraminifers show a $0.15 \pm 0.05$ unit decrease in sea surface pH (12) across the deglacial transition—an average rate of decline of $\sim 0.002$ units per 100 years compared with the current rate of more than 0.1 units per 100 years (table S1). Planktic foraminiferal shell weights decreased by 40 to 50% (4), and coccolith mass decreased by $\sim 25\%$ (13). In the deep ocean, changes in carbonate preservation (14), pH [from foraminiferal $\delta^{13}$B (15)] and $[CO_3^{2-}]$ [from foraminiferal B/Ca and Zn/Ca (16, 17)] differed between ocean basins, reflecting covarying changes in deep-water circulation and an internal carbon shift within the ocean. The regional nature of these variations highlights the general need for careful evaluation of regional versus global effects in paleo-studies.

**Oligocene–Pliocene.** The climate of the Oligocene to Pliocene [34 to 2.6 million years ago (Ma)] contains intervals of elevated temperature and modest deviations of atmospheric $P_{CO_2}$ from modern values (Fig. 4). Of particular interest has been the Pliocene warm period [3.29 to 2.97 Ma (18, 19)], which is characterized by global surface temperatures estimated to be $\sim 2.5\, ^\circ C$ higher than today (19), atmospheric $P_{CO_2}$ between 330 to 400 ppm (Fig. 4C) (18, 20), and sea surface pH$_{arag}$ $\sim 0.06$ to 0.11 units lower (18) than the preindustrial. Ecological responses to the warming include migration of tropical foraminifer species toward the poles (21), but there are no documented calcification responses or increased nanoplankton extinction rates (22). The early to middle Miocene (23 to 11 Ma) and Oligocene (34 to 23 Ma) were also characterized periods of elevated temperatures and slightly higher $P_{CO_2}$ compared with preindustrial values (Fig. 4C) but, because of their long duration, were not associated with changes in CaCO$_3$ saturation (Fig. 3C).

**Paleocene–Eocene.** Evidence for rapid carbon injection associated with the Paleocene–Eocene Thermal Maximum (PETM, 56 Ma) as well as a number of smaller transient global warming events (hyperthermals) during the late Paleocene and early Eocene (58 to 51 Ma) comes primarily from observations of large [up to $\sim 4$ per mil (‰)] negative $\delta^{13}$C excursions (23) associated with pronounced decreases in calcium carbonate preservation (24). Depending on the assumed source, rate, and magnitude of $CO_2$ release (25), a 0.25 to 0.45 unit decline in surface seawater pH is possible, with a reduction in mean surface ocean aragonite saturation from $\Omega = 3$ down to 1.5 to 2 (I). The calcite compensation depth (CCD) ($d$) rose by $\sim 2$ km shallower than 1.5 km in places (24) (compared with $\sim 4$ km today). Although a pH decrease or $P_{CO_2}$ increase remains to be confirmed by geochemical proxies for any of the hyperthermal events, the amount of carbon injected can be modeled on the basis of consistent carbonate $\delta^{13}$C and CCD changes, yielding between $\sim 2000$ and $6000$ PgC for the onset of the PETM (26, 27). However, as with the last glacial transition, deep sea geochemistry appears strongly modulated by regional ocean circulation changes (28), which adds an additional layer of complexity to global extrapolation and highlights the importance of adequate spatial coverage of the data.

PETM sediments record the largest extinction among deep-sea benthic foraminifers of the past 75 My (29), and a major change in trace fossils indicates a disruption of the macrobenthic community (30). However, the covariation of ocean acidification, warming, and corresponding oxygen depletion (fig. S2) (23) precludes the attribution of this extinction to a single cause ($S$, 29). In shallow water environments, a gradual shift from calcareous red algae and corals to larger benthic foraminifers as dominant calcifiers started in the Paleocene and was completed at the PETM with the collapse of coralgal reefs and larger benthic foraminiferal turnover (31). This event is recognized as one of the four major metazoan reef crises of the past 300 My (Fig. 1) (32). In marginal marine settings, coccolithophore (33) and dinoflagellate cyst (34) assemblages display changes in species composition, but these are interpreted to reflect sensitivity to temperature, salinity stratification, and nutrient availability (34, 35), not necessarily acidification (fig. S2). In the open ocean, the occurrence of deformities in some species of calcareous nanoplankton has been described (36), but despite a strong change in assemblages, there is no bias in extinction or diversification in favor of or against less or more calcified planktic species (37).

**Cretaceous and Cretaceous–Paleogene.** The well-known mass extinction at 65 Ma is generally accepted to have been triggered by a large asteroid impact (38). In addition to potential terrestrial biomass or fossil carbon burning, the impact may have caused the emission of SO$_2$ from vaporized gypsum deposits at the impact site and/or nitric acid aerosols produced by shock heating of the atmosphere, which could have led to acid rain and hence potentially to rapid acidification of the surface ocean (38). Although planktic calcifiers exhibited elevated rates of extinction and reduced production (22, 39), reef corals did not experience a major extinction (32), and benthic foraminifers were not affected in either shallow or deep waters (29). Because multiple environmental changes covaried and proxy data for marine carbonate chemistry are not yet available, unambiguous attribution of the planktic extinctions to any one driver such as ocean acidification is currently not possible.

The earlier Cretaceous (K) (Fig. 4A) is generally a time of massive chalk deposition (mainly...
in the form of nannofossil calcite), as well as one of elevated $P_{CO_2}$ (Fig. 4B) and lower pH (Fig. 4D). This association can be misconceived as evidence that marine calcification will not be impaired under conditions of low pH in the future. However, this reasoning is invalid because extended periods of high $P_{CO_2}$ (Fig. 4B) do not necessarily result in a suppressed seawater calcification saturation state (Fig. 3) (1, 40), which exerts an important control on organisms’ calcification (41).

*Cretaceous and Jurassic oceanic anoxic events. The Mesozoic oceanic anoxic events (OAEs) (in particular, OAE 2 –93 Ma, OAE 1a –120 Ma, and Toarcian OAE –183 Ma) were intervals during which the ocean’s oxygen minimum and deep anoxic zones expanded markedly (42). The onsets of these OAEs have been linked to the emplacement of large igneous provinces, degassing large amounts of CO$_2$, and associated environmental consequences of warming, lower oxygen solubility, and possibly ocean acidification (42). Some of the Cretaceous OAEs were associated with turnover in plankton communities (43). Deformities and some minor size reduction in coccoliths, as well as a massive increase in the abundance of heavily calcified nannoconids, have been observed (44, 45). However, similar to more recent events, there is difficulty in unequivocally attributing observations to surface water acidification given the covariation of environmental changes (46).

Because most old sea floor (–180 Ma or older) is subducted, the sedimentary record of the Toarcian OAE is now restricted to former continental margins. Sedimentary organic and inorganic carbon deposits display initially negative, followed by positive $\delta^{13}$C excursions, which is consistent with an influx of CO$_2$ into the atmosphere followed by organic carbon burial (42). The negative isotopic transition occurs in distinct negative $\delta^{13}$C shifts, each estimated to occur in less than 20 ky (47) and possibly in as little as 650 years (48). The Toarcian OAE is associated with a reef crisis that was particularly selective against corals and hypercalcifying sponges (animals with a large skeletal-to–organic biomass ratio) (Fig. 4B) (32) and with a decrease in nannoplankton flux (49). Again, these observations could have been a response to any one or combination of a number of different contemporaneous environmental changes.

**Triassic–Jurassic.** The Triassic–Jurassic (T/J) mass extinction is linked to the coeval emplacement of the Central Atlantic Magmatic Province (50). Proxy records across the T/J boundary (~200 Ma) suggest a doubling of atmospheric $P_{CO_2}$ over as little as 20 ky (51, 52), although the absolute $P_{CO_2}$ estimates differ greatly between proxies, with leaf stomata suggesting an increase from 700 to 2000 $\mu$atm, whereas pedogenic carbonates indicate an increase from 2000 to 4400 $\mu$atm (Fig. 4C) (2). Decreased carbonate saturation is inferred from reduced pelagic carbonate accumulation in shelf sediments (53), although shallow water carbonate deposition can vary in response to many parameters, not only acidification. A calcification crisis amongst hypercalcifying taxa is inferred for this period (Fig. 4B), with reefs and scleractinian corals experiencing a near-total collapse (32). However, the observation that tropical species were more affected than extra-tropical species suggests that global warming may have been an important contributor or even dominant cause of this extinction (32).

**Permian–Triassic.** The Permian–Triassic (P/T) mass extinction (252.3 Ma) was the most severe of the Phanerozoic Era and coincided, at least in part, with one of the largest known continental eruptions, the Siberian trap basalts. Recent estimates for the total $CO_2$ release put it at ~13,000 to 43,000 PgC in 20 to 400 ky (54–56)—an annual carbon release of ~0.1 to 1 PgC [compared with 9.9 PgC in 2008 (57)]. There is some observational evidence for carbonate dissolution in shelf settings (54), but its interpretation is again debated (58). There is abundant evidence for ocean anoxia, photic zone euxinia (enrichment in

**Fig. 4.** Compilation of data-based [(B) and (C)] and model-reconstructed [(C) and (D)] indicators of global carbon cycle evolution over the past 300 My together with candidate ocean acidification events (A). (A) Summary of the degree to which events (table S1) have some similarity to modern ocean acidification. The similarity index (table S1) is color-coded, where red indicates 3/most similar, orange indicates 2/partly similar, and yellow indicates 1/unlike. (B) Proxy-reconstructed atmospheric $P_{CO_2}$ (2) grouped by proxy; red squares indicate paleo-$\delta^{13}$C, light blue squares indicate marine phytoplankton $\delta^{13}$C, red triangles indicate stomatal indices/ratios, dark blue inverted triangles indicate planktonic foraminiferal $\delta^{18}$N, green five-pointed stars indicate liverwort $\delta^{13}$C, purple six-pointed stars indicate sodium carbonates, with 10-My averages shown by gray bars. For plotting convenience, estimates exceeding 3000 $\mu$atm are not shown [primarily paleosal $\delta^{13}$C from the uppermost Triassic/lowermost Jurassic (2)]. (C) Ocean Mg/Ca ratios (red triangles, left axis), reconstructed from fluid inclusions (2) and echnoderm fossil carbonate [red squares (71)] together with the Phanerozoic seawater model of (72) (red line). Also shown (blue circles, right axis) is [Ca$^{2+}$] from fluid inclusions (2) and models [blue line (72)]. (D) Model-reconstructed changes in mean ocean surface pH at 20-My intervals [black line (73)].
hydrogen sulfide (39), and strong warming (34), but no direct proxy evidence for pH or carbonate ion changes. Knoll et al. (39) inferred the preferential survival of taxa with anatomical and physiological features that should confer resilience to reduced carbonate saturation state and hypercapnia (high CO2 in blood) and preferential extinction of taxa that lacked these traits, such as reef builders (32).

**Is There a Geologic Analog for the Future?**

A number of past ocean carbon-cycle perturbation events share many of the characteristics of anthropogenic ocean acidification (Fig. 4 and table S1), with the notable exception of the estimated rates of CO2 release. In the general absence of direct proxy evidence for lower pH and reduced saturation before the Pliocene, global carbon cycle models can be used to infer the magnitude of carbon release by fitting observed changes in the δ13C of calcium carbonates and organic remnants (60). However, as well as needing information on the source and isotopic composition of the added carbon, the time scale of δ13C change is critically important to the estimation of CO2 fluxes (25). Because of the lack of open-ocean sediments and increasingly poor temporal and spatial resolution of the geological record further back in time, it is difficult to place adequate constraints on the duration and rate of CO2 release. Radiometric dating techniques are not accurate enough to identify Mesozoic intervals of 10-ky duration, although orbital spectral analysis of highly resolved isotope and/or sedimentological records can help to partly overcome this—for example, if a δ13C excursion is shorter or longer than one precession cycle [21 ky (51)]. Even for the well-studied PETM, the duration of the main phase of this carbon injection is still debated (35, 61), and model-inferred peak rates of ≤1 PgC per year (26, 61) could potentially be an underestimate.

Additional complications arise because carbon may not have been released at a uniform rate and, in the extreme, may have occurred in the form of rapid pulses. In such cases, the assumption of an average emissions rate throughout the entire duration of the pulsed release will fail to capture the potential for episodes of intense acidification. For instance, although the total duration of the CO2 release from the T/J–age Central Atlantic Magmatic Province was estimated to be ~600 ky, pulses as short as ~20 ky have been suggested (51, 62). Similarly, the main phase of OAE1a (excluding the recovery interval) was ~150 ky (45) and hence too slow for carbonate saturation to be significantly affected (Fig. 3), but major volcanic eruptions and thus rapid CO2 release could potentially have produced future-relevant perturbations in the carbon cycle. Substantially improved chronologies and high-resolution records are needed to refine estimates of rate.

Given current knowledge of the past 300 My of Earth’s history (Fig. 4 and table S1), the PETM and associated hyperthermal events, the T/J, and potentially the P/T all stand out as having excellent potential as analog events, although the T/J and P/T are much more poorly constrained because of the absence of deep-sea carbonate deposits. OAEs may also be relevant but were associated with less severe volcanism (CO2 release) than were the older events (P/T and T/J). The last deglacial transition, although characterized by temperature and CO2 increase, is two orders of magnitude slower than current anthropogenic change. It is also thought to largely represent a redistribution of carbon within the ocean and to the atmosphere and terrestrial biosphere and hence did not have as potent and globally uniform an acidification effect as an input from geological reserves. Because of the decoupling between pH and saturation on long time scales (Fig. 3), extended intervals of elevated PCO2 such as the middle Miocene, Oligocene, and Cretaceous can be firmly ruled out as future-relevant analogs.

**What Are the Perspectives for Using the Geological Record to Project Global Change?**

Only rapid or pulsed CO2 release events can provide direct future-relevant information. Assessment of such events critically depends on independent geochemical quantification of the associated changes in the carbonate system, specifically seawater-pH and CaCO3 saturation. Geochemical proxy estimates are not yet available for the Cretaceous and beyond and need to be obtained to verify whether ocean acidification did indeed happen. This is challenging, because in addition to the potential for increasing post-depositional alteration and reduced stratigraphic exposure, uncertainty over the chemical and isotopic composition of seawater increases and limits our interpretation of these proxies (63, 64). Future studies will have to improve and expand geochemical estimates and their uncertainties of surface and deep-ocean carbonate chemistry associated with carbonate dissolution and ecological changes. This includes finding new archives to study the secular evolution of seawater chemistry but also the laboratory study of living proxy carriers under conditions mimicking past seawater chemistry. An unfortunate aspect of the geological record, however, is the lack of deep-sea carbonates in the Early Jurassic and beyond, which further reduces our ability to reconstruct the carbonate chemistry of those older events.

The sensitivity of ocean chemistry to CO2 release, and the relationship between induced pH and PCO2 changes, vary through time and further complicate the picture. For instance, seawater calcium and magnesium ion concentrations were different in the past (Fig. 4C). This alters the ocean’s carbon buffering capacity and hence sensitivity of the Earth system to carbon perturbation (65) because all other things being equal, higher ambient Ca2+ concentrations means that a lower carbonate ion concentration is required to achieve the same saturation and hence balance weathering. Varying seawater Mg/Ca ratios may potentially also affect the mineralogy of marine calcifiers, where the more soluble high-Mg calcite predominated Neogene reefs and reefs during the Permian through Early Jurassic, and more resistant low-Mg calcite predominated during the Late Jurassic through Paleogene (66). Thus, on this mineralogical basis the response of marine calcifiers to ocean acidification and seawater geochemistry during the P/T and T/J would arguably be closer to the modern than, for example, during the PETM (67). Improved estimates of past seawater–Mg/Ca composition are necessary to better evaluate all of this.

Although we have concentrated on the prospects for extracting information from the geological record concerning the impact of ocean acidification, we must question whether it really is necessary to isolate its effect on marine organisms from other covarying factors (68). In particular, consequences of increasing atmospheric CO2 will also be associated with warming in the surface ocean and a decrease in dissolved oxygen concentration (69). Massive carbon release, whether future or past, will hence share the same combination and sign of environmental changes. The strength of the geological record therefore lies in revealing past coupled warming and ocean acidification (and deoxygenation) events as an “integrated” analog, with future and past events sharing the same combination and sign of environmental changes. However, in additionally driving a strong decline in calcium carbonate saturation alongside pH, the current rate of (mainly fossil fuel) CO2 release stands out as capable of driving a combination and magnitude of ocean geochemical changes potentially unparalleled in at least the last ~300 My of Earth history, raising the possibility that we are entering an unknown territory of marine ecosystem change.

**References and Notes**

2. Materials and methods are available as supporting material on Science Online.
8. An online associated carbonate chemistry tutorial is available as supporting material on Science Online.
ERRATUM

Review: “The geological record of ocean acidification” by B. Hönisch et al. (2 March, p. 1058). The affiliation for author Carles Pelejero was incomplete. The complete affiliation is: “Institució Catalana de Recerca i Estudis Avançats and Department of Marine Biology and Oceanography, Institut de Ciències del Mar, Consejo Superior de Investigaciones Científicas, 08003 Barcelona, Catalonia, Spain.”